STATE EXAMINATION SYSTEM

SES Release Notes
Release Name: Maryland
Release Date: August 4, 2024

The purpose of these release notes is to provide a summary of SES functionality and system enhancements in the Maryland Release.

Overview

The State Examination System (SES) Maryland Release includes several new features and enhancements. in. These release notes pertain to the Examination and Investigation Supervisory Activities (referred to herein as "SA"). The Maryland Release includes enhancements to adding procedures and findings, providing agency users with visibility into which sections and fields of the system are shown to the company. Additionally, several minor improvements have been made throughout the system to enrich the user experience.

I. Agency Users:

A. Company-visible fields:
One of the most requested features was a way to easily see which fields are visible to companies. In response, this release introduces visibility indicators for sections and fields. Users will now be able to see an (open eye icon) for fields shared with companies and an orange (eye slash icon) for those that are not. This enhancement is designed to help agency, global support, and policy users quickly identify the visibility status of various fields, enhancing transparency and clarity throughout the system.

B. Procedures:
This release introduces several enhancements designed to simplify how users work on procedures:

- Pop-Up Window: Agency users now have the option to manage exam procedures using a pop-up window, offering a convenient alternative to the traditional drill-down feature. Agency users will see that in the main procedure grid there is a new column with all the applicable actions that a user can take. Once an action is clicked, users will be presented with a pop-up window where the procedure can be worked. Once the user completes or saves the work, the user is directed back to the main procedure grid.

- Bulk work on Observations: In addition to the pop-up window and updated grid, users will be able to add observations to multiple procedures and mark them as done based on areas for review (AFR) selection. Additionally, once a user selects the

AFR of choice the system presents the number of procedures worked and done for that AFR. Metrics will also be displayed when any AFR selection is made, indicating the percentage of procedures worked and percentage of procedures done. This enhancement will provide visual progress updates and make working on procedures and observations more efficient.

C. Findings:

Several new enhancements have been implemented to the Findings feature to enhance the way they are managed currently. Agency users will be able to utilize a convenient pop-up window, accessible from a new column in the Findings Grid, to efficiently handle all actions related to findings.

Moreover, multiple procedures can now be linked to multiple findings. When a finding is associated with a procedure, or vice versa, the system will display the associated Procedure ID with the finding wherever procedures or findings are shown. This aims to enhance the visibility of related findings and procedures further showing relationships between previously disconnected workflows.

In addition, agency users will have the ability to share findings with companies outside of the exit meeting. A new tab will be available to company users to view the shared findings in addition to the exit meeting if the agency chooses to schedule one.

Please note that in the "Share Findings with Company" section (found under the Related Actions tab), an open-eyed icon will be displayed. However, only the findings you select (highlighted in blue) will be visible to companies.

D. Report versions:

This enhancement will help agency users to delete old report versions before the SA is closed and locked. Agency users will be able to delete all but one version of the report in the system. In the case of wanting to delete a report that has already been sent to the company, the system will notify the user that it cannot be deleted. However, the report retraction functionality can be used instead.

#	Title	Description	Context (User)	Feature
Supervisory Activity				
SES-3055 Sprint 66 (16.1)	Agency: Work procedures on pop-up feature	This ticket enables agency users to work on exam procedures using a pop-up window, providing an alternative to the drill-down feature. It introduces a new column in the Procedures Grid, allowing users to remove, mark as done, assign, and work on procedures, along with adding checkboxes for tracking status and associating findings.	Agency	Procedures
SES-3069 Sprint 66 (16.1)	Agency: Bulk Work Procedure Observations	Agency users will be able to add observations and mark multiple procedures as done based on AFR selection. Users can bulk add observations, submit them, and seamlessly return to the main screen.	Agency	Procedure
SES-3080 Sprint 68 (16.3)	Agency: Bulk Work Procedure update (for wizard)	This ticket will enable users to bulk add observations based on the AFR and submit them, returning to the first screen upon submission. Users can also view full details of Associated IRs in the Bulk Work Procedure Observations drill down view.	Agency	Procedures
SES-3054 Sprint 67 (16.2)	Agency: Sharing findings with the company outside exit meeting	This ticket enables agency EICs or staff directors participating in supervisory activities to share findings with the company outside of exit meetings. It ensures that companies can view findings similarly to those shared during exit meetings, including associated loan request IDs if applicable, enhancing transparency and communication in regulatory processes.	Global	Findings
SES-3052 Sprint 67 (16.2)	Agency: Findings pop-up feature	This update introduces a pop-up window feature for agency users to work on exam findings, providing an alternative to the existing methods. A new column in the Findings Grid grants users access to all available actions via pop-ups, enhancing efficiency and user experience.	Agency	Findings
SES-3051 Sprint 68 (16.3)	Agency: View findings on SA	This update enables agency users to view all findings associated with a specific SA. It enables linking multiple procedures to multiple findings, displaying associated Procedure IDs alongside each finding or procedure where they are referenced.	Agency	Findings

SES-3066 Sprint 67 (16.2)	Global: Identifying fields that are seen by the company	This ticket introduces visibility indicators for sections and fields, showing which are shared with the company (green open eye icon) and which are not (orange eye slash icon). This enhancement helps agency, global support, and policy users quickly identify the visibility status of various fields, improving transparency and clarity in the system.	Agency	Supervisory Activity
SES-3061 Sprint 68 (16.3)	Agency: EIC or staff user deleting old report versions	This update enables agency EICs and staff users to delete old report versions before the SA is closed and locked, except for the latest version. This streamlines report management, ensuring only relevant versions are retained, enhancing organizational efficiency.	Agency	Report of exam/investigation
SES-3060 Sprint 68 (16.3)	Agency: All participants updating Related Entities	This ticket enables all agency participants to be able to update related entities on a SA before its closed and locked.	Agency	Related Entities