SES Release Notes
Release Name: Texas
Release Date: June 23, 2025

The purpose of these release notes is to provide a summary of SES functionality and system enhancements in the Texas Release.

Overview

The State Examination System (SES) Texas Release includes several new features and enhancements. These release notes pertain to the Examination and Investigation Supervisory Activities (referred to herein as "SA"). The Texas Release introduces enhancements for money services businesses (MSB) workflow, including new tabs for Financial Statements and Transaction Requests. Additionally, several other improvements have been made across the system to enhance the user experience.

I. Agency Users:

Enhancements for MSB Examinations:

Financial Statement Tab and Export

With the Texas Release, agency users can now perform all annual financial calculations and conduct analysis directly in SES during MSB examinations.

- Financial Statement Tab: Financial Statement tab will be available for the MSB Workflow during the planning status and will show a grid layout similar to the one in the MTRA workbook. Agency users will have the ability to edit, add, and delete all rows within this tab and perform ratio analysis until the SA is closed and locked.

- Financial Statement Export: Users will also have the option to export the Financial Statement tab as part of the MTRA Work Program. The addition of this tab will complete the MTRA work program export in its entirety.

Transaction Request Tab

One of the key priorities identified during the 2024 MSB Fly-In in Washington, D.C. was the need for SES to record and review transactions. In response, a new tab has been added to SES for MSB exams. This new tab, called Transaction Requests, enables examiners to add, update, assign, and send transactions to companies. Once a company receives and responds to the transaction requests, the agency will be able to review the responses, add notes, log findings, and mark the request as complete. It is important to note that any SA that was created before June 23, that had only the business type MSB, will have both the Loan Request and Transaction Request tabs. Any SA that is initiated after June 23, that has only the business type MSB will have only the Transaction Request tab.

- Adding Transaction Requests: MSB agency users can now create individual Transaction Requests directly from the new tab, with the added ability to include a list of transactions that require additional review of an exam or investigation. Agency participants will be able to group multiple transactions based on the reason for review. Users will be able to select from a list of reasons or enter a reason of their choosing. To submit a transaction request, users must provide at least one of the following: a Transaction ID, Customer Name, or a Document Upload.

- Update Transaction Requests: Users will now be able to modify existing Transaction Requests as needed. Specifically, they can update individual transactions that are in the agency status of "Ready to Send" and have not yet been submitted to the company. All available fields within the request can be edited.

- Assign Transaction Requests (Individually or in Bulk): The examiner in charge (EIC) or and staff users from the lead agency who are participants on the SA will be able to assign Transaction Requests to other participants.

- Send Transaction Requests to Companies: Transaction Requests that are in an agency status of “Ready to Send” can be transmitted to the company to respond to before the report is sent to the company. This sending of the transaction requests.

- Review Transaction Requests: Once company responds to the transaction requests. Agency users will then be able to review responses to Transaction Requests, add internal notes, document violations or findings, send back to the company for additional information, and mark requests as reviewed.

- Associate Findings with Transaction Requests: The system will allow agency users to tie findings with transaction requests in the workflow once an SA reaches the In-Flight milestone. These associations are reflected in both the Transaction Requests and Findings tabs and are automatically removed if the finding is deleted. This will continue to display and record relationships between different areas of the workflow.

- Transaction Request Dashboard for Agencies: Agency users will also have access to a Transaction Requests Dashboard. This dashboard displays request statuses, review progress, comments, reminders, documents, and links to company responses.

Please note that all MSB exams initiated after June 22 will have Transaction Request Tab.

Work Program Enhancement
CSBS Nonbank Cybersecurity and IT Exam Programs
An SES enhancement was created to allow global support users to associate a core IR to a non-core procedure and vice versa for standards. Agency users who are managing the library will be able to associate their agency specific core IRs to non-core procedures and vice versa.

This updated was made primarily to implement the CSBS Nonbank Cybersecurity and IT Exam Programs work program into SES. For any SA created after June 23, all SAs in the system will be able to have the work program if the exam has the corresponding key AFR. Like other work programs, agency users can always choose to not include the work program badge on the SA if they do not want it.

Exam Scheduling
Two new fields have been added to the close out form in addition to the next exam due by date and will be displayed in Exam Scheduling wizard: “Statutory Requirement Date” and “Risk-Based Date.” All three dates are optional and no validations are present for these dates so users can select any date for all three of these fields. Users can also filter the companies by these dates in the wizard to aid with scheduling decisions.

Additionally, users will only be able to see SA Type: “Examination” instead of “Examinations and Investigation” in the Exam Scheduling Wizard. This will allow companies with open investigations to be selected for an exam.
Procedures and Findings:
Agency users can now search by Procedure ID in addition to Library ID on the Procedures tab, making it quicker to find relevant procedures.

After the Texas release, users will be able to see the Company Name displayed at the top of the Bulk Work Procedure and Bulk Work Procedure Observations screens for better context while working.

Participants in Multistate exams:
Following the Texas release, EICs will have the ability to approve join requests from participating agencies on multistate SAs. Previously, only staff directors were able to approve this request. Another enhancement in this release with help manage participants in a multistate exam until the SA is closed and locked. The table below highlights the permissions:

SES User Role	Add for own state	Manage for own state (update role/ remove)	Can Add/Manage from Other states
EIC	Yes	Yes	Yes
Staff User (from lead agency))	Yes	Yes	Yes
Staff user (NOT from lead agency, NOT a participant on exam)	No	No	No
Participants (from lead agency Lead) (Not EIC or Staff User)	Yes	Yes	No
Participants (Not from Lead agency) (Not EIC, not having SU Role)	Yes	Yes	No

Other Enhancements:
This section lists several enhancements being made in response to feedback gathered during the 2025 NMLS Annual Conference & Training.

- With this release, agency users will have an option to automatically or manually move the SA to the in-flight milestone upon scope completion. This applies only to exams with scope type: leveraged.

- After the Texas Release, users will be able to quickly create a Matter Requiring Attention (MRA) directly from the Findings grid via the Actions column.

- Agency users will now be able to see the Validate button centered on the Loan Request, Information Request, and Transaction Request screens. This enhancement improves visual alignment and ensures consistent UI behavior throughout the application.

II. Company Users:

Transaction Request Tab

As mentioned above, company will receive and can respond back and forth to the transaction requests. The workflow for the company will include:

- Assign/Reassign Transaction Requests: Once a Transaction request has been sent to the company, the company lead, and SA coordinators will be able to assign or reassign Transaction Requests – either individually or in bulk – to active participants within the SA.

- Respond to Transaction Requests: After assignment, company participants will be able to respond to Transaction Requests by submitting written responses and uploading supporting documentation.

- Send Transaction Requests to Agencies: Company leads will then send one or more complete Transaction Requests back to the agency prior to the final report submission.

- Automated Reminders: Company users will receive automated reminders two to seven days before a Transaction Request is due, ensuring timely responses.

- Transaction Request Dashboard for Companies: Company users will have access to a dashboard summarizing all Transaction Request activity, including statuses, agency comments, document uploads, review progress, and response submission links. The dashboard also provides a snapshot of review completion percentages, agency feedback with timestamps, and status counts.

#	Title	Description	Context (User)	Feature
Supervisory Activity				
SES-3134 Sprint 78 (19.1)	Agency: Financial Statement Tab	Agency users will now be able to do all financial calculations/analysis within SES when conducting MSB exams. This new tab in SES will replicate the Financial Statement tab in MTRA Workbook.	Agency	Financial Statements Tab (MSB)
SES-3225 Sprint 79 (19.2)	Agency: Financial Statement Export	In addition to including Financial Statement Tab in SES, Agency participants will now also be able to export the Financial Statement tab as part of the MTRA work program.	Agency	Financial Statements (MSB)
SES-3233 Sprint 79 (19.2)	Agency: Adding an Individual Transaction Request	A new 'Transaction Requests' tab has been added to SES for MSB agency users. This ticket will focus on the ability for agency users to add transaction entries in the tab.	Agency	Transaction Request Tab (MSB)
SES-3299 Sprint 80 (19.3)	Agency: Enhancements for Adding/Uploading Transaction Requests	Some enhancements were made to the adding and upload transaction request feature after external Demos. This ticket covers when adding a request, users are required to provide at least one of the following: a Transaction ID, Customer Name, or upload a document.	Agency	Transaction Request Tab (MSB)
SES-3246 Sprint 79 (19.2)	Agency: Agency User Updating a Transaction Request	Users will now be able to modify existing Transaction Requests as needed. Specifically, they can update individual transactions that are in the agency status of "Ready to Send" and have not yet been submitted to the company. All available fields within the request can be edited.	Agency	Transaction Request Tab (MSB)
SES-3235 Sprint 79 (19.2)	Agency: Sending Transactions to Company	EICs or staff users from lead agencies can now send "Ready to Send" transactions to companies before the exam is sent to the company.	Agency	Transaction Request Tab (MSB)
SES-3271 Sprint 80 (19.3)	Company: Individual or Bulk assignment of Transaction Requests	Company leads or SA coordinators will be able to bulk or individually assign/reassign Transaction Requests to all active company participants on the corresponding a SA.	Company	Transaction Request Tab (MSB)

SES-3236 Sprint 79 (19.2)	Company: Adding a response to a Transaction Request	Company participants can now respond to transaction requests by adding responses and uploading documents.	Company	Transaction Request Tab (MSB)
SES-3243 Sprint 80 (19.3)	Company: Sending transaction Requests to the Agency	As part of the transactions Request workflow, company lead will send one or more Transaction Requests to the agencies before the report is sent.	Company	Transaction Request Tab (MSB)
SES-3270 Sprint 80 (19.3)	Company: Automated Reminders for Transaction Requests	Company leads will get automatic reminders 2 and 7 days before a transaction request is due. This update will help timely responses from company.	Company	Transaction Request Tab (MSB)
SES-3287 Sprint 80 (19.3)	Agency: Individual or Bulk assignment of Transaction Requests	Lead agency EICs and staff users can assign transaction requests, either individually or in bulk to active SA participants after scope completion and before the report is sent to the company.	Agency	Transaction Request Tab (MSB)
SES-3237 Sprint 80 (19.3)	Agency: Reviewing Transaction Request	Agency users reviewing transactions can add internal notes, documents violations, findings and complete the review.	Agency	Transaction Request Tab (MSB)
SES-3245 Sprint 80 (19.3)	Agency: Associate a Finding to a Transaction Request	Users can now associate and manage Findings with transaction requests once the SA reaches the In-flight milestone. Associated Findings appear in both the Transaction Requests and Findings tabs, and are removed if deleted from the system.	Agency	Transaction Request Tab (MSB)
SES-3238 Sprint 80 (19.3)	Company: Transaction Request Dashboard	This ticket talks about the Transaction Request Dashboard for Company users. The dashboard will summarize transaction request statuses, review progress, agency comments, additional documents, and response file links. Additionally, it will also include linked status counts, percentage of review completed, agency feedback with timestamps.	Company	Transaction Request Tab (MSB)

SES-3232 Sprint 80 (19.3)	Agency: Transaction Request Dashboard and MSB Agency Feedback	Agency users can now access a Transaction Requests dashboard (if the SA has the Transaction tab), featuring statuses, reviews, comments, documents, reminders, and response links. This ticket also includes feedback from ongoing MSB Fly-in demos.	Agency	Transaction Request Tab (MSB)
SES-3294 Sprint 80 (19.3)	Transaction Requests and Loan Requests Differences in Workflows	This ticket tracks all the differences in workflow between Transaction Requests and Loan Request.	All	Transaction Request Tab (MSB)
SES-3284 Sprint 80 (19.3)	Agency: Manually Set Leveraged SA Status to "In-Flight"	With this release, agency users will have an option to choose to manually update the SA milestone upon scope completion—if not selected, SA auto-moves to In-flight milestone.	Agency	SA Milestones
SES-3230 Sprint 80 (19.3)	Agency: New fields on Close out form & exam scheduling	Two new fields have been added to the close out form and will be displayed in Exam Scheduling wizard: Statutory Requirement Date and Risk-based Date. Users can also filter the companies by these dates in the wizard to aid with scheduling decisions.	Agency	Closeout form & Exam Scheduling
SES-3210 Sprint 78 (19.1)	Agency: Do not display SA Type: Investigations from Exam Scheduling	After Texas Release, users will only be able to see SA Type: Examination instead of Examinations and Investigation. This way, companies with open investigations will remain selectable.	Agency	Exam Scheduling
SES-3197 Sprint 79 (19.2)	Agency: Ability to Create MRA from a Finding	After the Texas Release, users will be able to quickly create a Matter Requiring Attention (MRA) directly from the Findings grid via the Actions column.	Agency	Findings
SES-3223 Sprint 80 (19.3)	Agency: Searching Procedures by Procedure ID	Agency users can now search by Procedure ID in addition to Library ID on the Procedures tab, making it quicker to find relevant procedures.	Agency	Procedures
SES-3260 Sprint 80 (19.3)	Agency and Support: Associating Core/Non-core IRs and Procedures	This update will enable global support users to associate a core IR to a non-core procedure and vice versa for standards. And the agency users who are managing the library will be able to associate their agency specific core IRs to non-core procedures and vice versa.	Agency & Support Users	Library

SES-3259 Sprint 80 (19.3)	[Design change] Agency: Move 'Validate' Button on the Center of the Screen	Agency users will now be able to see the Validate button centered on the Loan Request, Information Request, and Transaction Request screens. This enhancement improves visual alignment and ensures consistent UI behavior throughout the application	Agency	UI UX
SES-3211 Sprint 80 (19.3)	Agency: Company Name to display at the Top of the "Bulk Work Procedures" Screen	After Texas Release, users will be able to see the Company Name displayed at the top of the Bulk Work Procedure and Bulk Work Procedure. Observations screens for better context while working.	Agency	Procedures
SES-3209 Sprint 80 (19.3)	Agency: All Participants to Manage Participants in a Multistate Exam	Agency users will now be able to manage participants from their own agency on SA, including adding or removing them till the SA is not closed or locked.	Agency	Multistate Exams
SES-3118 Sprint 80 (19.3)	Agency: EIC Can Approve a Join Request	Following the Texas release, EICs will have the ability to approve join requests from participating agencies on multistate SAs. Previously, only staff directors were able to approve this request.	Agency	Multistate Exams